

SURPLUS LINES AFFIDAVIT

1. Name and Address of Surplus Lines Broker: Ralf H. Schaarschmidt II 189 Boulder Ridge Rd., East Montpelir VT 05651		Licence No. 001034444
2. Producing Agent (not agency) _____ CT Lic. No. _____		3. Name/Location of Risk (1) _____ (2) _____ NEW ENGLAND EXCESS EXCHANGE, LTD. Associated Representative
4. Does the undersigned broker have on file evidence of declination by three licensed insurers and ineligibility for any residual market mechanism per 38a-741 C.G.S.? _____ Yes _____ No _____ Exportable List		
6. Kind of Insurance	Limits	Risk Description
7. Premium	___ Term Premium ___ Installment ___ Subject to Audit	Policy Period

AFFIDAVIT BY INSURED

I/We, the named insured, state that on _____ 20_____ I/We directed the licensed producing agent named on this Surplus Lines Affidavit to obtain insurance coverage described herein; that I/We were informed by said producing agent that he made a diligent effort to place this risk with licensed insurers authorized to transact the class of insurance involved and which accept in the usual course of business, insurance on risks of the same class as the risk described herein; and that said companies accepted only part of or no part of the required insurance.

I/We were further informed by said producing agent that the amount of insurance indicated herein could be obtained from certain Insures no licensed to transact business in the State of Connecticut. I/We therefore directed the producing agent named herein to obtain said insurance through the office of the licensed Surplus Lines Broker named herein. I/We have been advised by the producing agent named herein that such insurance represents only the excess over the amounts procurable from licensed insurers or the Connecticut residual market.

8a. Broker Service Fee

8b. Producer Service Fee

Signature of Insured

State of _____ Connecticut
County of _____ SS _____ 20_____

Personally appeared before me (insured) _____ and made oath that the above affidavit signed by him/her is true to the best of his/her knowledge and belief.

Notary Public

AFFIDAVIT BY SURPLUS LINES BROKER

I, a licensed Surplus Lines Broker, authorized to transact insurance with the surplus lines insurer(s) named on this Surplus Lines Affidavit, being duly sworn, depose and declare under the penalties provided for false affidavit that a diligent effort has been made to procure said insurance coverage from licensed insurers which are authorized to transact the class of insurance involved and which accept in the usual course of business, insurance on risks of the same class described herein. This insurance has been procured with the surplus lines insurer(s) named on this Surplus Lines Affidavit, which insurance is only the excess over amounts procurable from licensed insurers.

Signature of Surplus Lines Broker

State of _____ Vermont
County of _____ Washington SS _____ 20_____

Personally appeared before me (broker) Ralf H. Schaarschmidt II and made oath that the above affidavit signed by him/her is true to the best of his/her knowledge and belief.

Notary Public
My Commission Expires 2/10/11

EVIDENCE OF C.G.S 38A-741 COMPLIANCE

Three licensed insurers who declined to insured this risk:

(1) _____

(2) _____

(3) _____

Producing Agent

Please sign and date